



State of Illinois  
Illinois Department of Transportation

# ethics workplace

in the  
Seminar 2015

**Tuesday, January 27, 2015**

9:00 am – 3:00 pm

Willis Tower • 37th Floor

233 South Wacker • Chicago, Illinois

**Hosted by**

Illinois Department of Transportation



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## AGENDA

**9:00AM**

Welcome by **Director Jeff Heck**  
Illinois Department of Transportation

Presentation by **Dan K. Webb**  
Chairman - Winston & Strawn LLP

Presentation by **The Honorable Calvin L. Scovel III**  
(ret-USMC), Inspector General of the U.S. DOT

Presentation by **Thomas J. Ullom**  
Assistant Special Agent in Charge – U. S. DOT, Office of Inspector General

Presentation by **Paul M. Boechler**  
Executive Vice President & Chief Operating Officer, AECOM  
Design and Consulting Services - America

### **LUNCH BREAK**

(lunch is on your own)

**12:30PM**

Presentation by **William M. Blumthal, Jr.**  
Deputy Director, Illinois Department of Insurance

Presentation by **William Harmening**  
Special Agent – Illinois Securities Department

Presentation by **Joseph Pedersen**  
Assistant United States Attorney

**3:00PM**

### **CLOSING REMARKS**



### **Dan K. Webb**

Chairman - Winston & Strawn LLP

Dan Webb, the chairman of Winston & Strawn LLP, is one of the nation's most highly regarded litigators, with a reputation as a formidable opponent in the courtroom. When companies are facing legal and enforcement proceedings on multiple fronts, in matters making daily front-page news, companies seek his sage counsel. As confirmed by The American Lawyer, Mr. Webb is "one of the country's most sought-after lawyers." His experience is critical in navigating highly visible, bet-the-company situations. Mr. Webb has tried more than 100 jury cases, including numerous successful complex commercial and white-collar criminal trials, representing, on several occasions, large corporations in jury trials lasting two months or longer. A former U.S. Attorney for the Northern District of Illinois, Mr. Webb also has been retained to handle the defense of such high-profile business and political figures as former BP CEO Tony Hayward, former Illinois Governor George H. Ryan, and Illinois businessman William Cellini. As a prosecutor he spearheaded the "Operation Greylord" investigations into judicial corruption in Cook County and received international attention for his successful prosecution of retired Admiral John Poindexter in the Iran-Contra affair. Mr. Webb is also the co-author of Corporate Internal Investigations, considered by many general counsel to be the leading treatise on how corporate internal investigations should be conducted.



### **The Honorable Calvin L. Scovel III**

(ret-USMC), Inspector General of the U.S. DOT

Calvin L. Scovel III is the sixth Inspector General of the U.S. Department of Transportation. He was nominated by President George W. Bush on July 13, 2006 and, after confirmation by the Senate, was sworn in on October 27, 2006. Mr. Scovel joined DOT after 29 years of active service in the U.S. Marine Corps, from which he retired as a Brigadier General. His last military assignment was as a senior judge on the U.S. Navy-Marine Corps Court of Criminal Appeals. He previously served as Assistant Judge Advocate General of the Navy for Military Justice, the principal advisor to the Secretary of the Navy and the Judge Advocate General on all criminal justice policy matters. He also commanded a military police battalion that provided security and law enforcement for Marine Corps Base, Quantico, Virginia. Mr. Scovel served as senior legal advisor for the 4th Marine Expeditionary Brigade, which included all Marine amphibious forces in Operation Desert Storm and later in a NATO exercise above the Arctic Circle in Norway. He had previously served as legal advisor for a Marine amphibious unit deployed to the Western Pacific and Indian Oceans, where it conducted exercises in Hawaii, Japan, the Philippines, Kenya, and Australia. A Marine judge advocate, Mr. Scovel served as prosecutor, defense counsel, or judge in 250 courts-martial that included charges of murder, rape, child sexual assault, and drug trafficking. As an adjunct faculty member for the Defense Institute of International Legal Studies, Mr. Scovel led instruction teams in the rule of law and civilian control of the military for senior civilian and military officials in Honduras, Mauritius, Albania, and Serbia. He was in the Pentagon on September 11, 2001. His military awards include the Legion of Merit (four awards) and Combat Action Ribbon. Mr. Scovel is a recipient of the Secretary's Gold Medal for Outstanding Achievement for his leadership of OIG in supporting DOT's recovery effort after the collapse of the I-35W Bridge in Minneapolis in 2007. Mr. Scovel received his bachelor's degree from the University of North Carolina at Chapel Hill and his juris doctor degree from Duke University School of Law. He also received a master's degree from the Naval War College.

*Associate with men of good quality, if you esteem your own reputation;  
for it is better to be alone than in bad company.*

-President George Washington



### **Thomas J. Ullom**

*Assistant Special Agent in Charge – U. S. DOT, Office of Inspector General*

Tom Ullom began his career in federal law enforcement in 1993. He has worked with the U.S. Marshal's Service as a Deputy U.S. Marshal in the Chicago Field Office, as a Special Agent with the U.S. Drug Enforcement Administration in the Detroit Field Division, and as Special Agent with the U.S. Department of Education, Office of Inspector General, in the Chicago Field Office. In 2011, Mr. Ullom was promoted to the position of Assistant Special Agent in Charge for the U.S. Department of Transportation, Office of Inspector General, Chicago Field Division. Special Agent Ullom has worked investigations related to domestic and international drug trafficking, fugitive apprehension, and various complex fraud schemes. As a supervisory Special Agent, he supervises a staff of Special Agents who conduct fraud investigations related to the various US DOT operating administrations in 11 states. Tom grew up in Cleveland, OH, and graduated from Bowling Green State University with a BS in Criminal Justice.



### **Paul M. Boechler**

*Executive Vice President & Chief Operating Officer, AECOM, Design and Consulting Services - America*

Paul M. Boechler is the Executive Vice President and Chief Operating Officer of AECOM's Americas Design and Consulting Services (DCS) Group. Prior to URS' merger with AECOM, Mr. Boechler was Executive Vice President and Chief Operating Officer of URS' Infrastructure & Environment (IE) Division with responsibility for the division's Human Resources, Information Technology, Safety, Quality, and Financial Accounting and Reporting. In his current role as EVP and COO, Mr. Boechler is responsible for DCS operations in the Americas and brings a commitment to make the support function philosophy be one that makes all actions and responsibilities solely dependent on serving operations and, in turn, AECOM's clients. His focus is on change management to increase efficiencies and on transforming the approach to problem-solving from creation of process to accountability. Prior to joining URS, Mr. Boechler was part of Flint Energy Services, which was acquired by URS Corporation in May of 2012. He served as Chief Financial Officer from 2007 to 2012 and has worked extensively on acquisition and growth initiatives, with responsibility for investor relations and human resources. This included leadership and ethics training and the opportunity to teach part of the ethics program to managers. During his time in the oil field service industry, he was responsible for operations in Canada and the United States, including four years as President of Flint's US operations. A Fortune 500 company, AECOM provides a blend of global reach, local knowledge, innovation, and technical excellence in delivering solutions that create, enhance and sustain the world's built, natural and social environments.



### **WILLIAM M. BLUMTHAL, JR.**

*Deputy Director, Illinois Department of Insurance*

Mr. Blumthal is a Deputy Director at the Illinois Department of Insurance and manages the Department's Workers' Compensation Fraud Unit. Mr. Blumthal joined the Department of Insurance in June of 2011, and since that time, he has focused on building relationships with government agencies and industry partners, educating stakeholders about workers' compensation fraud, and improving both the number and quality of investigations conducted by the Workers' Compensation Fraud Unit. Prior to heading the Workers' Compensation Fraud Unit, Mr. Blumthal served as an Assistant State's Attorney in Cook County for nearly eight years. During his time at the State's Attorney's Office, Mr. Blumthal worked in a number of different divisions, tried hundreds of cases, and argued before the Illinois Appellate Court. He graduated from the University of Illinois at Urbana-Champaign, with a BA in Liberal Arts and Sciences, and from DePaul University College of Law, with a Juris Doctor degree and a certificate in criminal law.

*A long habit of not thinking a thing wrong gives it a superficial appearance of being right.*

-Thomas Paine



### **William Harmening**

Special Agent – Illinois Securities Department

William Harmening is an adjunct professor of Forensic Psychology at Washington University in St. Louis. He teaches courses in criminal behavior, criminology, forensic psychology, and criminal justice ethics. Additionally, since 1981 he has worked full-time as a criminal justice professional. He began his career as a Deputy Sheriff in downstate Illinois, where he eventually became the department's Chief Deputy. In 1993 he took a position as a Special Agent with the Illinois Securities Department doing strictly investment fraud cases. In 1998 he transferred to the Illinois Attorney General's Office to head up the State's first high tech crimes task force and computer forensics lab. In that job, as Deputy Chief of Investigations, he had oversight responsibility for a team of investigators and forensic specialists working cases involving child pornography, unlawful intrusions, and fraud, among others. In 2001 he returned to the Illinois Securities Department as the Chief Special Agent, where he remains to this day conducting complex securities fraud investigations, and supervising a cadre of Special Agents in both Springfield and Chicago. He is a former police academy instructor/coordinator, and provided state mandated behavioral science instruction to multiple classes of police cadets over a period of years in Illinois. He's certified in forensic hypnosis, and provides that service to police departments throughout the state of Illinois. Additionally, he's a licensed polygraph examiner. Professor Harmening holds an M.A. in Psychology from the University of Illinois at Springfield, and when not working he enjoys writing. He's the author of eight books, including four widely used textbooks on the subjects of criminal behavior, crisis intervention, forensic psychology, and the psychology of serial killers. He has spoken widely on these topics in the U.S. and England, and has appeared twice on CNBC's top-rated show, "American Greed." Recently, he was heavily involved as an expert commentator for numerous media outlets on the public unrest in Ferguson, Missouri.



### **Joseph C. Pedersen**

Assistant United States Attorney

Joseph C. Pedersen has spent his entire legal career in public service. He was an Assistant States Attorney for over 6 years with the Ogle County and DeKalb County States Attorney's Offices before joining the United States Attorney's office in Rockford in 2002. Throughout Attorney Pedersen's career he has prosecuted over 60 state and federal jury trials. He attended Northern Illinois University and graduated Summa Cum Laude in 1992 with his B.S. in Accountancy. He passed the CPA exam that same year. He then attended law school and graduated in May 1995 from the University of Iowa Law School, Juris Doctor, with High Distinction.

*The time is always right to do what is right.*

-Martin Luther King, Jr.

## NOTES

*Glass, china, and reputation are easily cracked, and never mended well.*

-Benjamin Franklin



## PARTICIPANTS OF PAST SEMINARS



Robert D. Grant



Christopher G. Kennedy



Honorable  
Charles P. Kocoras



Robert S Rivkin



Robert J. Callahan



Mark J. Cheviron



(Ret) Honorable  
Wayne R. Anderson



Lawrence Oliver II



Patrick M. Collins



Honorable  
J. Dennis Hastert



James W. Wagner



Rodger Heaton



Tuskegee Airmen

## HOW TO REPORT TRANSPORTATION FRAUD

If you are aware of or suspect the commission of fraud on transportation related projects funded by the Illinois Department of Transportation including fraud pertaining to the Disadvantaged Business Enterprise (DBE) Program, contact IDOT's Office of Quality Compliance & Review. Allegations may be reported by DOT employees, contractors, or the general public. OQCR's Hotline is open 24 hours a day. All information will be kept strictly confidential.

### REPORT TO IDOT

IDOT Fraud Hotline

Call: **1-800-455-5008** (toll free)

Email to: **[DOT.FraudAwareness@illinois.gov](mailto:DOT.FraudAwareness@illinois.gov)**

Mail To: IDOT Office of Quality Compliance and Review,  
2300 South Dirksen Parkway, Springfield, IL 62764

### REPORT TO THE FEDERAL GOVERNMENT

The US DOT Office of Inspector General (OIG) also maintains a Hotline to facilitate the reporting of allegations of fraud, waste, abuse, or mismanagement in U.S. Department of Transportation (DOT) programs or operations. You may report such allegations through the contact information listed below. Allegations may be reported by DOT employees, contractors, or the general public. OIG's Hotline is open 24 hours a day, seven days a week and is operated by a third party contractor.

**[www.oig.dot.gov/hotline](http://www.oig.dot.gov/hotline)**

Call: **1-800-424-9071** (toll free)

Email to: **[hotline@oig.dot.gov](mailto:hotline@oig.dot.gov)**

Mail to: DOT Inspector General, 1200 New Jersey Ave SE,  
West Bldg 7th Floor, Washington DC, 20590

### REPORT TO THE OFFICE OF THE EXECUTIVE INSPECTOR GENERAL

Complaints regarding allegations of State employee misconduct, fraud, waste, etc., can be made to the Office of Executive Inspector General for the Agencies of the Illinois Governor by anyone. However, the complaint must relate to the official conduct of a State employee of a State agency, board, commission, or State public university under the jurisdiction of the OEIG, or a person or entity (such as a vendor of the State) doing business with an employee or State agency under the jurisdiction of the OEIG.

- Visit [www2.illinois.gov/oeig/Pages/FileaComplaint.aspx](http://www2.illinois.gov/oeig/Pages/FileaComplaint.aspx)
- Call the OEIG's toll-free hotline at 866.814.1113
- Contact the Office via telecommunications device for the disabled (TTY) at 888.261.2734
- Mail a completed complaint form to:  
Office of Executive Inspector General for the Agencies of the Illinois Governor  
Attention: Complaint Division  
32 West Randolph, Suite 1900  
Chicago, Illinois 60601
- Fax a completed Complaint Form to 312.814.5479
- Visit the OEIG's Springfield or Chicago offices to obtain, complete and submit a complaint form

*Always do right—this will gratify some and astonish the rest.*

-Mark Twain

